

Complete if Known

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

3

Application Number

10/043,493

Filing Date

January 14, 2002

First Named Inventor

John D. POLK

Art Unit

3694

Examiner Name

Mary Da Zhi Wang Cheung

Attorney Docket Number

06556.0039-00000

## **U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

| Examiner Initials | Cite No. <sup>1</sup> | Document Number                          | Issue or Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|-----------------------|--|---|---|---|
|                   |                       | Number-Kind Code <sup>2</sup> (if known) |   |   |   |
|                   |                       |  |   |   |   |
|                   |                       |  |   |   |   |

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation <sup>6</sup> |
|-------------------|-----------------------|---|--------------------------------|---|---|--------------------------|
|                   |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |   |   |                          |
|                   |                       |   |                                |   |   |                          |
|                   |                       |   |                                |   |   |                          |

## **NONPATENT LITERATURE DOCUMENTS**

| Examiner Initials | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.   | Translation <sup>6</sup> |
|-------------------|-----------------------|---|--------------------------|
|                   | 1                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Defendant ACS's Objections and Responses to Plaintiffs' Third Set of Requests for Production of Documents to Defendants Affiliated Computer Services, Inc. and ACS State & Local Solutions Inc., (03/09/09), (52 pp.). |                          |
|                   | 2                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Defendants Affiliated Computer Services, Inc. and ACS State & Local Solutions, Inc.'s Objections and Responses to Plaintiffs' Third Set of Interrogatories (Nos. 27-40), (04/29/09), (44 pp.).                         |                          |
|                   | 3                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Affiliated Computer Services, Inc. and ACS State & Local Solutions, Inc.'s Second Supplemental Initial Disclosures, (04/21/09), (13 pp.).  |                          |
|                   | 4                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Fourth Supplemental Objections and Responses to Defendants' Amended First Set of Interrogatories (Nos. 1-6), (04/24/09), (21 pp.).   |                          |
|                   | 5                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Answer to ACS's First Amended Counterclaims, (3/18/09), (16 pp.).  |                          |
|                   | 6                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Second Amended Answer and Counterclaims to JPMorgan Chase's First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement (Redacted public version), (4/3/09), (46 pp.).                   |                          |
|                   | 7                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Second Amended Answer and Counterclaims to JPMorgan Chase's First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement (Redacted public version), (4/3/09), (49 pp.).                   |                          |
|                   | 8                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Second Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement, (04/2/09), (45 pp.).   |                          |
|                   | 9                     | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Exhibits to Second Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement - Volume 3 - Exhibits O-W, (04/2/09), (2 pp.).  |                          |

|  |   |    |                          |                         |                  |
|--|---|----|--------------------------|-------------------------|------------------|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO  |   |    | <b>Complete if Known</b> |                         |                  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    | Application Number       | 10/043,493              |                  |
|  |   |    | Filing Date              | January 14, 2002        |                  |
|  |   |    | First Named Inventor     | John D. POLK            |                  |
|  |   |    | Art Unit                 | 3694                    |                  |
|  |   |    | Examiner Name            | Mary Da Zhi Wang Cheung |                  |
| Sheet  | 2 | of | 3                        | Attorney Docket Number  | 06556.0039-00000 |

| NONPATENT LITERATURE DOCUMENTS |    |  |  |
|--------------------------------|----|--|--|
|                                | 10 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - HARRY W. WIGGINS, Prepared Statement of Harry W. Wiggins, Vice President, Child Support Services, Lockheed Martin IMS Children and Family Services Before the House Government Reform and Oversight Committee Human Resources Subcommittee - "Social Service Privatization: The Benefits and Challenges to Child Support Enforcement Programs", Federal Information Systems Corporation Federal News Service, Section: In the News (11/4/97), (8 pp.), (Exhibit P). |  |
|                                | 11 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - STEVEN MARJANOVIC, Bottomline Taps Lockheed to Market Software That Deducts Child Support, American Banker, Inc., The American Banker, Section: Technology/Operations; Pg. 15 (7/12/96), (2 pp.), (Exhibit Q).  |  |
|                                | 12 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - PMR news briefs, IOMA Payroll Manager's Report, Section: Pg. 13 (9/4/97), (3 pp.), (Exhibit R).   |  |
|                                | 13 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - States, Counties Automate Child Support Processing, 2008 Factiva, Inc., (08/01/96), (3 pp.), (Exhibit S).   |  |
|                                | 14 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Metropolitan Digest/Los Angeles County News In Brief: Los Angeles County; New Computer Keeps Track of Child Support Payments, The Times Mirror Company; Los Angeles Times, Section: Metro; Part B; Page 2; Metro Desk (3/9/95), (2 pp.), (Exhibit T).   |  |
|                                | 15 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - CARLA RIVERA, Electronic Child Support System Unveiled; Government: Critics Say New Computer System Is Plagued With Problems and May Be Inadequate. A Few Bugs Just Need To Be Worked Out, District Attorney Responds, The Times Mirror Company; Los Angeles Times, Section: Metro; Part B; Page 1; Metro Desk (3/10/95), (3 pp.), (Exhibit U).   |  |
|                                | 16 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - DAN MORAIN, State to Stay With Child-Support Computer Setup; Technology: Tracking System Is Incomplete And Consultant Lists 1,400 Problems, But Official Defends Project, Times Mirror Company; Los Angeles Times, Section: Part A; Page 3; Metro Desk (5/2/97), (3 pp.), (Exhibit V).  |  |
|                                | 17 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Lockheed Martin IMS Retains Landmark Child Support Payment processing Contract in Los Angeles County; * Awarded three-year, \$24 million contract * Processes more than \$350 million annually for Los Angeles County * Is nation's oldest, privatized child support payment processing operation, PR Newswire Association, Inc., PR Newswire, Section: State and Regional News (12/4/00), (3 pp.), (Exhibit W).  |  |
|                                | 18 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A1, U.S. Patent No. 7,317,823 Claim Chart (ACS's Identification of Prior Art, '823 Patent), (23 pp.).  |  |
|                                | 19 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A2, U.S. Patent No. 5,917,965 Claim Chart (ACS's Identification of Prior Art, '965 Patent), (60 pp.).  |  |
|                                | 20 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A3, Access Card '190 (51 pp.).   |  |
|                                | 21 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A4, Access Card '049 (36 pp.).   |  |
|                                | 22 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A5, Access Card '315 (36 pp.).   |  |

|   |   |    |   |                               |                         |
|---|---|----|---|-------------------------------|-------------------------|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b>      |                         |
|   |   |    |   | <i>Application Number</i>     | 10/043,493              |
|   |   |    |   | <i>Filing Date</i>            | January 14, 2002        |
|   |   |    |   | <i>First Named Inventor</i>   | John D. POLK            |
|   |   |    |   | <i>Art Unit</i>               | 3694                    |
|   |   |    |   | <i>Examiner Name</i>          | Mary Da Zhi Wang Cheung |
| Sheet   | 3 | of | 3 | <i>Attorney Docket Number</i> | 06556.0039-00000        |

| NONPATENT LITERATURE DOCUMENTS |    |   |  |
|--------------------------------|----|---|--|
|                                | 23 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A6, DPC '190 (58 pp.).  |  |
|                                | 24 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A7, DPC '049 (39 pp.).  |  |
|                                | 25 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A8, DPC '315 (33 pp.).  |  |
|                                | 26 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A9, SAS '190 (68 pp.).  |  |
|                                | 27 | U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Attachment A10, SAS '049 (43 pp.). |  |
|                                | 28 | AMY HENDERSHOTT, Child Support Enforcement in West Virginia, West Virginia University, Department of Sociology and Anthropology, (12/00), (66 pp.).                             |  |
|                                | 29 |   |  |
|                                | 30 |   |  |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.